

### Customer Tax Status Declaration under FATCA

The Capital Alliance Group of Companies have been individually registered as Foreign Financial Institutions under the Foreign Account Tax Compliance Act (FATCA) a United States tax law aimed at financial institutions and other financial intermediaries to prevent tax evasion by US citizens and US tax residents (Specified US Persons) through use of non-US investments or accounts. In terms of our FATCA obligations we are required to report certain information on such Specified US Persons to the US Internal Revenue Service. Please note that failure to provide the information below and such other information as may be required from time to time as stipulated by regulations under FATCA may result in deduction of withholding payments from payments due to you.

Please consult your tax or professional adviser should you wish to understand the implications of FATCA on your particular circumstances. We are not liable for any loss an investor may suffer as a result of compliance with FATCA.

#### Customer Details

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| <b>Customer Name:</b>   |  |
| <b>Permanent / Registered address</b>   |  |
| <b>Passport / Registration number</b>   |  |
| <b>Correspondence Address</b>   |  |
| <b>Telephone Number</b>   |  |
| <b>Facsimile Number</b>   |  |
| <b>Email Address</b>  |  |
| <b>Taxpayer Identification No:<br/>(TIN)</b><br>Please indicate if TIN is not available |  |

In the case of a Customer which is a U.S. owned foreign entity, please provide the following information in respect of the name, address, and TIN of each specified U.S. person that is a substantial U.S. owner of such entity

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|---|
| <b>Name:</b>  |
| <b>Permanent / Registered address</b>   |
| <b>Passport / Registration number</b>   |
| <b>Correspondence Address</b>   |
| <b>Telephone Number</b>   |
| <b>Facsimile Number</b>   |
| <b>Email Address</b>  |
| <b>Taxpayer Identification No:<br/>(TIN)</b><br>Please indicate if TIN is not available |

### **Consent and Declaration**

The CAL Group and/or any member of the same is hereby authorized to disclose information provided by this Declaration and relating to the accounts transactions and balances of Customer ("Customer Data") pursuant to any agreement entered into by the CAL Group (or any of its affiliates, together "the CAL Group") under FATCA to the relevant authorities including authorized third parties such as the United States Internal Revenue Service.

I/WE FREELY CONSENT AND AGREE TO CUSTOMER DATA BEING PROCESSED, TRANSFERRED AND DISCLOSED AS SET OUT ABOVE. I/ WE AGREE THAT THIS CONSENT WILL OVERRIDE ANY INCONSISTENT TERM UNDER ANY AGREEMENT ENTERED INTO BETWEEN ANY MEMBER OF THE CAL GROUP AND ME/US.

I/We declare that all statements made in this declaration are, to the best of my/our knowledge and belief, correct and complete.

Client Name

By:

Name:

Title (if Authorized Signatory)

Date:

This Declaration shall be signed by each US owner in the case of a US owned Foreign Entity

| Name | Signature | Passport No: |
|------|-----------|--------------|
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