



CAL

Level 05, Millennium House, No 46/58, Nawam Mawatha, Colombo 02
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Client Code - FIS

Type of Account to be Opened

Capital Alliance PLC	
Treasury Bills and Treasury Bonds	<input type="checkbox"/>
Repo & Re-Repo	<input type="checkbox"/>

Joint Application Form (Please fill in BLOCK letters)

1. Customer Details

Primary Holder

(Mr/Mrs/Miss/Dr/Rev/Other.....)

Joint Holder 01

(Mr/Mrs/Miss/Dr/Rev/Other.....)

Joint Holder 02

(Mr/Mrs/Miss/Dr/Rev/Other.....)

1. Name in Full

2. NIC/PP Number

3. Date of Issue

D	D	M	M	Y	Y	Y	Y
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D	D	M	M	Y	Y	Y	Y
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D	D	M	M	Y	Y	Y	Y
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4. Date of Birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

D	D	M	M	Y	Y	Y	Y
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D	D	M	M	Y	Y	Y	Y
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5. Citizenship Details

Sri Lankan Foreign National
 Dual Citizen

Sri Lankan Foreign National
 Dual Citizen

Sri Lankan Foreign National
 Dual Citizen

If Dual Citizen or Foreign National, please specify the country/countries and the passport numbers

Name of Country	Passport No

Name of Country	Passport No

Name of Country	Passport No

Country of Residence

6. Permanent Address

Postal Code & District

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7. Correspondance Address

Postal Code & District

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8. Status of Residency Address

Owner With parent
 Friend's/Relative's Board/Lodging
 Lease/Rent Official
 Other _____

Owner With parent
 Friend's/Relative's Board/Lodging
 Lease/Rent Official
 Other _____

Owner With parent
 Friend's/Relative's Board/Lodging
 Lease/Rent Official
 Other _____

9. Contact Details

Primary Holder

Joint Holder 01

Joint Holder 02

Fixed Line

Mobile Number

Email Address

10. Income Tax Number
(if applicable)

11. Employment and Occupation

Employed Unemployed

Proprietor/
Business Owner Retired

Employed Unemployed

Proprietor/
Business Owner Retired

Employed Unemployed

Proprietor/
Business Owner Retired

Other _____

Other _____

Other _____

Designation/
Occupation

Name of Employer

Nature of Business

Address of Employer

Telephone No.

Email Address

12. Expected Monthly Value of Investment

Less than LKR 100,000

LKR 100,000 - LKR 500,000

LKR 500,000 - LKR 1,000,000

LKR 1,000,000 - LKR 2,000,000

LKR 2,000,000 - LKR 3,000,000

LKR 3,000,000 - LKR 4,000,000

LKR 5,000,000 - LKR 10,000,000

Over LKR 10,000,000

Less than LKR 100,000

LKR 100,000 - LKR 500,000

LKR 500,000 - LKR 1,000,000

LKR 1,000,000 - LKR 2,000,000

LKR 2,000,000 - LKR 3,000,000

LKR 3,000,000 - LKR 4,000,000

LKR 5,000,000 - LKR 10,000,000

Over LKR 10,000,000

Less than LKR 100,000

LKR 100,000 - LKR 500,000

LKR 500,000 - LKR 1,000,000

LKR 1,000,000 - LKR 2,000,000

LKR 2,000,000 - LKR 3,000,000

LKR 3,000,000 - LKR 4,000,000

LKR 5,000,000 - LKR 10,000,000

Over LKR 10,000,000

13. Sources of Funds

Salary/Profit Income

Investment Proceeds/Savings

Sales & Business Turnover

Contract Proceeds

Family Remittances

Donations/Charities
(Local/Foreign)

Sale of Property & Assets

Gifts

Commission Income

Membership contribution

Export Proceeds

Others _____

Salary/Profit Income

Investment Proceeds/Savings

Sales & Business Turnover

Contract Proceeds

Family Remittances

Donations/Charities
(Local/Foreign)

Sale of Property & Assets

Gifts

Commission Income

Membership contribution

Export Proceeds

Others _____

Salary/Profit Income

Investment Proceeds/Savings

Sales & Business Turnover

Contract Proceeds

Family Remittances

Donations/Charities
(Local/Foreign)

Sale of Property & Assets

Gifts

Commission Income

Membership contribution

Export Proceeds

Others _____

14. Purpose of Opening the Account

Savings/Investments

Equity/Debt Security Transactions

Other _____

Savings/Investments

Equity/Debt Security Transactions

Other _____

Savings/Investments

Equity/Debt Security Transactions

Other _____

Primary Holder

Joint Holder 01

Joint Holder 02

15. Are you a Politically Exposed Person (PEP)?

Yes

No

Yes

No

Yes

No

Definition of a PEP

- i. An individual who is or has been entrusted domestically or by a foreign country with prominent public functions*
- ii. An individual who is related to a PEP either directly (consanguinity) or through marriage or similar (civil) forms of partnership*
- iii. An individual who is closely connected to a PEP, either socially or professionally*

16. Are you a US person under the Foreign Account Tax Compliance Act (FATCA) of the US?

Yes (If yes, FATCA declaration has to be submitted along with the application form)

No (In the event if I/We become a US person under FATCA of US, I/ We do hereby undertake to inform the said fact to the Participant immediately)

Yes (If yes, FATCA declaration has to be submitted along with the application form)

No (In the event if I/We become a US person under FATCA of US, I/ We do hereby undertake to inform the said fact to the Participant immediately)

Yes (If yes, FATCA declaration has to be submitted along with the application form)

No (In the event if I/We become a US person under FATCA of US, I/ We do hereby undertake to inform the said fact to the Participant immediately)

Under the Foreign Account Tax Compliance Act ("FATCA") the following criteria may classify a customer as a "US Person"

- i. A Citizen of USA (including an individual born in the US but resident of another country, who has not renounced US citizenship)*
- ii. A lawful resident of the USA (including a US Greencard holder)*
- iii. A person residing in the USA*
- iv. A person spends approximately 180 days within 03 years (not continuously) in the USA*
- v. US corporates, estates and trusts*
- vi. Any entity that has a linkage or ownership to US or US territories*

2. Bank Details

Account 01

Account Title

Account No.

Bank Name

Branch Name

Account 02

Account Title

Account No.

Bank Name

Branch Name

Account 03

Account Title

Account No.

Bank Name

Branch Name

**Provide proof of Bank Account/s for verification.*

Payment Instructions - Maturity Proceeds/Interest

SLIPS/RTGS transfer to any of the above Bank Accounts

Transfer of Funds for settlement between Capital Alliance Group Companies

