



CAPITAL ALLIANCE GROUP,
Level 5, "Millennium House",
46/58, Nawam Mawatha, Colombo 2, Sri Lanka.

✓ Please tick the appropriate boxes

KNOW YOUR CUSTOMER (KYC) PROFILE FORM -INDIVIDUALS

OFFICE USE ONLY

(Requirement in terms of Financial Transactions Reporting Act No. 6 of 2006)

DATE	:
CUSTOMER IDENTIFICATION NO.	:
BRANCH	:
ADVISOR'S NAME & SIGNATURE	:

SECTION A - BASIC INFORMATION OF THE INDIVIDUAL INCLUDING OF THOSE WITH POWER OF ATTORNEY.

1. Full name of the customer :

2. Residential address :

3. Nature of business (if any) :

4. Occupation :

5. Position held :

6. Name of employer :

7. Citizenship :

<input type="checkbox"/> Sri Lankan	Nationality	
<input type="checkbox"/> Sri Lankan with dual citizenship	Type of Visa	
<input type="checkbox"/> Sri Lankan with foreign citizenship	Visa Expiry Date	
<input type="checkbox"/> Foreign national		

8. Foreign Address (if any)

SECTION B - MANDATORY CHECKS

1. Name, Date of birth and Nationality verification :

To be supported by one of the following accepted documents

<input type="checkbox"/> National Identity Card No.	<input type="checkbox"/> Valid Driving Licence
<input type="checkbox"/> Un- expired Passport No.	<input type="checkbox"/> Other (Specify)
<input type="checkbox"/> Birth Certificate for minor	<input type="checkbox"/> Marriage Certificate (Name Change)
<input type="checkbox"/> Postal for persons under 18 years of age	

2. Address verification :

Residential address verified and supported by one of the following accepted documents

<input type="checkbox"/> Utility Bill (specify)	<input type="checkbox"/> Letter from a public Authority
<input type="checkbox"/> Tenancy Agreement	<input type="checkbox"/> Income Tax Receipt / Assessment Notice
<input type="checkbox"/> National Identity Card	<input type="checkbox"/> Others (Specify)

N. B. - Under item 1 & 2, the original should be sighted and a copy should be held & stamped and signed as "Original Seen"

3. Status of the Residential Address : Premises

<input type="checkbox"/> Owner (A)	<input type="checkbox"/> Lease / Rent (C)	<input type="checkbox"/> Friends /Relatives (E)
<input type="checkbox"/> Parent's (B)	<input type="checkbox"/> Official (D)	<input type="checkbox"/> Board I Lodging (F)

Permanent Address : in the case of (C- F)

4. Applicant's ownership of wealth and estimated value :

<input type="checkbox"/> Residential property Rs.	<input type="checkbox"/> Financial assets Rs.
<input type="checkbox"/> Business Premises Rs.	<input type="checkbox"/> Investments Rs.
<input type="checkbox"/> Motor vehicles Rs.	<input type="checkbox"/> Other (Specify) Rs.

(If property is on rent/lease, please indicate)

5. Source of wealth : Wealth generated from :

<input type="checkbox"/> Business / Ownership	<input type="checkbox"/> Inheritance
<input type="checkbox"/> Investments	<input type="checkbox"/> Others (specify)
<input type="checkbox"/> Profession / Employment	

6. Other Connected Business/Professional activities & interests

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7. Does the client appear in any known suspected terrorist list or any other alert list,?’

☐ Yes

☐ No.

8. Is the client or any member of his immediate family, a Politically Exposed Person (PEP) ?*

☐ Yes

☐ No.

If “Yes” Please Specify :

9. Other Details / Remarks / Notes (if any) :

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Signature

.....
Name

DD / MM / YYYY
.....
Date

*** FIU Definition of PEP's (Politically Exposed Persons) :**

Individuals in Sri Lanka or abroad who are or have been entrusted with prominent public functions, eg: Heads of State or of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporation, important political party officials.